The Notch Signaling Pathway in Embryogenesis [1]

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The Notch signaling pathway is a mechanism in animals by which adjacent cells communicate with each other, conveying spatial information and genetic instructions for the animal's development. All multicellular animals utilize Notch signaling, which contributes to the formation, growth, and development of embryos (embryogenesis [4]). Notch signaling also contributes to the differentiation [5] of embryonic cells into various types of cells, such as neurons. Research into the Notch gene in fruit flies began in the early twentieth century, but not until the end of the twentieth century did researchers begin to uncover, in many different species, the roles of Notch proteins for cell to cell signaling. Researchers have also found that dysfunction in the pathway can contribute to diseases such as cancer and Alzheimer's.

The Notch signaling pathway involves two adjacent cells, one that sends the signal, and one that receives and reacts to that signal. The Notch protein, called a receptor, extends from the receiving cell's cytoplasm, through that cell's outer membrane, and into the extracellular space. On the surface of the adjacent cell there is a protein, called a ligand, that connects to the Notch protein of the receiving cell. When the ligand of the signaling cell binds to the Notch receptor of the receiving cell, the portion of the Notch protein inside of the receiving cell changes its shape, and it is cleaved from the rest of the protein that is anchored in the membrane. The severed strand travels to the receiving cell's nucleus [6] and triggers a cascade of interactions between molecules that bind to DNA and that activate or repress the transcription of specific genes [7]. These interactions affect which proteins are produced in the receiving cell, and they ultimately direct its differentiation [8] into a more mature and functional cell.

In 1914, John S. Dexter worked at Olivet College in Olivet, Michigan, and he worked with fruit flies from the species Drosophila melanogaster [9]. Dexter noticed a heritable abnormality in some flies, which had small notches in the tips of their wings. Three years later Thomas Hunt Morgan [10], intrigued by the heritability of such changes, identified the first allele of the Notch gene while working at Columbia University [11], in New York City, New York. By the mid 1920's, Morgan and his students had identified multiple mutant Notch alleles, many of which were lethal, but some of which resulted in notched wings, or in abnormal hair bristles on female flies. However, as the number of identified alleles began to grow, so did the recorded number of phenotypic responses. Morgan observed that mutations to the Notch gene, conceptualized at the time as some unit of inheritance located on chromosomes, showed inconsistent behaviors, causing the gene to lose its function, to gain new functions, or to produce more protein. Such mutations also revealed both recessive and dominant patterns within populations of fruit flies. These mutational responses confounded researchers who tried to label the locus of the Notch gene with a specific developmental function.

Starting in the mid 1930s, Donald Poulson removed entire chromosomes from the cells in Drosophila [11] embryos and described the effects on the development of those embryos. Poulson first described his results in his 1936 doctoral dissertation for the Department of Embryology at the Carnegie Institute of Washington, in Baltimore, Maryland. Poulson worked to attribute aspects of specific genes [7] on these chromosomes, but he viewed removal of entire chromosomes as a blunt method for revealing less specific, far-reaching phenotypic changes. However, Poulson did characterize a few chromosomal deficiencies, where only a portion of the chromosome was mutated. One such abnormality included the Notch locus. Poulson wrote that fly embryos that lacked the Notch gene developed normally through the first four hours of embryogenesis [4], but then failed to develop two of the three germ layers [12]; the mesoderm and endoderm. Poulson’s detailed description of Notch mutants was one of the first associations of the actions of a specific gene on morphogenesis in any organism.

Despite these findings, few elaborated on Poulson’s work for nearly forty years. Some suggest that perhaps Poulson’s work was overshadowed by the scientific celebrity of Morgan’s lab, which focused on the genetics of the adult fly. Furthermore, Poulson attracted few graduate students, partly because of the technical constraints associated with work on fly embryos. Compared to other commonly used animal models at the time, such as sea urchins, chicks, and amphibians [13], Drosophila [11] eggs are small and difficult to manipulate. Additionally, scientists noted that most embryos with mutations to the Notch gene simply died. As biologist Scott Gilbert [14] said when he later described the lack of interest in Poulson's work, “Death is a difficult phenotype to analyze.” In the decades following Poulson’s work, many used Drosophila [11] in the larval and adult stages to study genetics and inheritance, but research on the early embryogenesis [4] stalled.


In 1983 Spyros Artavanis-Tsakonas led an early molecular inquiry into the Notch gene locus in Drosophila [11] at Yale University [23] in New Haven, Connecticut. By cloning [24] fragments of Notch complimentary DNA (cDNA) and aligning overlapping sequences, Artavanis-Tsakonas described the nucleotide sequence of the Notch gene and the amino acid sequence of the Notch protein. By comparing the sequences to other known proteins, Spyros-Tsakonas hypothesized that the Notch protein spanned the cell membrane and contained a region that extended outside the cell. This region, called the epidermal growth factor repeat (EGF-repeat), is a cysteine-rich sequence of amino acids, and it exists in all animals. Artavanis-Tsakonas’s research confirmed that EGF-repeats are the primary region where the Notch protein interacts with its ligand. The sequencing of the Notch gene revealed the function of the gene product for the first time, indicating that the Notch protein acts as part of a signaling cascade that communicates molecular signals between neighboring cells. Afterwards, researchers worked to characterize the Notch protein and the molecules that comprise the Notch signaling pathway.

Shortly after Artavanis-Tsakonas sequenced the Notch gene, various ligand proteins began to receive attention. The first ligand to be sequenced was Delta in 1987, followed by Serrate in 1990, and Lag-2 in 1994. The ligands were similar to the Notch receptor in that they span the cell membrane, extend into the space outside the cell, and contain EGF-repeat regions. Those results supported the theory that Notch signaling is a mechanism of communication between adjacent cells. Over the next several years researchers found that the Notch signaling pathway was active in many cellular processes in a variety of model organisms. In 1988 researchers showed that the Notch protein mediates cell signaling and differentiation [5] in anchor cells and vulval cells in the worm Caenorhabditis elegans [6], and in 1989 others showed similar roles for the Notch protein in eye development and in neurogenesis of Drosophila [11].

In 1991, evidence accumulated that Notch signaling participates in a wide range of developmental processes. Leif Ellisen, a cancer researcher at Brigham and Women’s Hospital and Harvard Medical School [26] in Boston, Massachusetts, made the first connection between Notch signaling and human health. Ellisen discovered that an abnormal relocation of an uncharacterized gene occurred in a high percentage of lymphoblastic leukemia cells. Upon sequencing this gene, Ellisen showed that it was similar to the Notch gene in Drosophila [11], revealing the existence of Notch signaling in humans [27]. Furthermore, his results indicated that deleting a member of the signaling pathway can contribute to the development of cancer. Ellisen’s results sparked much research into the Notch gene and its relations to human health.

Since Ellisen’s discovery, the Notch signaling pathway has been found in nearly all multicellular animals. All mammals have four different Notch genes [7] in their genomes and at least five ligands that bind to Notch proteins: three Delta-like ligands and two Jagged ligands (homologous to Serrate in Drosophila [11]), each of which differ in their number of EGF repeats. The different Notch genes [7] and proteins indicate that the Notch pathway has at least several roles in mammalian development. Notch signaling has been found to be active in many of the processes during embryogenesis [4], including the differentiation [5] of neurons (neurogenesis), somite formation (somitogenesis [28]), muscle tissue formation (myogenesis), heart formation (cardiogenesis), formation of the cellular components of the blood (haematopoeisis), and formation and maturation of blood vessels (vasculogenesis and angiogenesis [29], respectively), among others.

The Notch signaling pathway is composed of many proteins that are sequentially activated. The Notch signal is initiated when the Notch receptor protein comes into contact with its ligand located on an adjacent cell. When the receptor and ligand interact through the EGF-repeat region, the intracellular portion of the Notch protein (Notch intracellular domain [NICD]) is cleaved from the extracellular portion of the Notch protein. The NICD then moves into the cell nucleus [6]. Once in the nucleus [6] the NICD binds to a transcription factor that, in the absence of the NICD, normally represses target genes [7]. When the NICD binds to the transcription factor, they form a large protein complex that activates the expression of target genes [7]. The genes [7] activated differ depending on the cell type and on the developmental context.

While there are many nuances in Notch signaling in different cell types and in different stages of embryogenesis [4], Notch signaling regulates cellular activities in several general ways. For example, Notch signaling can promote or repress cellular proliferation, maintain stem cell populations, specify cell fates, control differentiation [5], and mediate cell death (apoptosis [30]). Some proteins, called effector proteins, can also alter the intensity of Notch signaling based on the surrounding type of tissue or the developmental stage. Examples of these regulatory proteins in Notch signaling include Lunatic fringe, which can impact the affinity of Notch for its ligands; Numb, which helps to remove the Notch receptor from the cell membrane and to degrade it; and Mastermind, which impacts the ability of Notch to regulate gene transcription. Together with the many other regulatory elements of the Notch signaling pathway, these mechanisms balance the cellular interactions that occur throughout the developing organism.

As research relating to the role of Notch signaling in embryogenesis [4] continues, researchers investigate its role in disease. Notch signaling has been implicated in such diverse diseases as Alzheimer’s disease, bone diseases, and heart defects. Since its identification in lymphoblastic leukemia, Notch signaling has also been identified in many types of cancer. The most apparent effects of changes in Notch regulation [31] are in promoting cancerous proliferation, and in inhibiting apoptosis [30]. As of early 2013, clinical trials are under way for therapies aimed at lymphoblastic leukemia, breast cancer, colon cancer, and glioblastoma, the most common and most aggressive malignant brain tumor in humans [27].
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