Effects of Prenatal Alcohol Exposure on Central Nervous System Development [1]

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Prenatal exposure to alcohol (ethanol) results in a continuum of physical, neurological, behavioral, and learning defects collectively grouped under the heading Fetal Alcohol Spectrum Disorder (FASD). Fetal Alcohol Syndrome [5] (FAS) is part of this group and was first defined in 1973 as a condition characterized by pre- and postnatal growth deficiencies, facial abnormalities, and defects of the cerebellum. The CNS is particularly vulnerable to the effects of ethanol during prenatal development. Severe exposure correlates with gross morphological abnormalities and an overall decrease in white matter [6]. Mechanisms for how ethanol affects the development of the CNS are complicated, but damage to neural stem cell progenitor pools that give rise to neurons and glia [7] is strongly suspected to be a major factor. Damage to this population of cells at any point during CNS development can result in abnormalities in the formation and maturation of these cells, from the initial differentiation [8] through the maturation of neuronal networks. This damage can lead to a wide variety of cognitive deficiencies, functional impairments, and behavioral problems depending on the area of the brain impacted by prenatal ethanol exposure.

The developmental consequences of ethanol exposure to the CNS was first observed in the late 1960s and early 1970s by contemporaneous teams of French and American scientists studying birth defects [9] affecting children born to mothers who had heavily abused alcohol during pregnancy [10]. FAS was coined to describe the specific combination of pre- and post-natal growth deficiencies, facial abnormalities, and neurological defects of these children. After a developmental timeline for these defects was established, it was recognized that ethanol-induced abnormalities to the CNS could occur in the absence of the characteristic facial defects of FAS. Alcohol-related neurodevelopmental disorder (ArND) was defined to encompass the continuum of neurological, cognitive, and behavioral deficiencies that could occur as a result of prenatal ethanol exposure, outside of the narrow window of developmental sensitivity that generates ethanol-induced craniofacial defects.

Ethanol-induced defects affecting CNS development can be observed through the use of non-invasive neuroimaging [11] techniques. These advances have made it possible to visualize the effect of alcohol on the developing brain at all stages of life, from the prenatally affected child through the developmentally mature adult. Magnetic resonance imaging, functional MRI [12], and diffusion tensor imaging [13] have also proven to be useful imaging techniques for visualizing defects in tissue organization [14]. These technological advancements have demonstrated widespread defects affecting every area of the developing CNS, from formation of the frontal lobe to the optic nerve.

Neuroimaging has made it possible to visualize the morphological defects of the CNS. These defects generally occur as overall reductions in the size of the parietal lobes, frontal lobe, and areas of the cerebellum [15]. In severe cases this decrease in brain size leads to microcephaly [16], a disorder in which the cranium of an individual is abnormally small (two standard deviations less than the developmental norm) due to stunted brain development. A disproportionate decrease in the expression of white matter [6] (“white” myelinated neuronal axons responsible for cellular communication) is generally observed compared to gray matter [17] (primarily composed of neuronal cell bodies and glia [7]), which is subject to less dramatic decreases. Areas of the brain that contain dense bundles of white matter [6], such as the corpus callosum [18] (CC) and parts of the cerebellum [15], are therefore particularly vulnerable to the assault of ethanol. Defects of other CNS structures such as the basal ganglia, optic nerve, hypothalamus, and brain stem have also been observed but are not as well catalogued.

Abnormalities in the CC have been observed in individuals with FAS as well as those with ArND, generally making it a reliable indicator of prenatal ethanol exposure even in the absence of other ethanol-induced abnormalities. The CC is a dense band of white matter [6] that separates the left and right hemispheres of the brain and is responsible for interhemispheric communication. This central hub of communication is integral not only to bimanual fine-motor dexterity, but also to higher-level cognitive processes that require the transfer of information between hemispheres, such as verbal learning, memory, and executive functioning (decision making, planning, abstract thought). Ethanol-induced defects of the CC can include complete agenesis (non-development), hypoplasia [19] (underdevelopment), and spatial displacement, and can vary in severity depending on the specifics of exposure.

Abnormalities in the cerebellum [15] manifest as an overall reduction [20] in volume, particularly affecting the development of the bundle of white matter [6] at the center of the cerebellum [15]. The cerebellum [15] is tucked posterior and inferior to the left and right hemispheres of the brain. It is roughly divided into two hemispheres that are connected at the midline by the vermis [21]. The anterior portion of the vermis [21] is the first part of the cerebellum [15] to develop, and prenatal ethanol introduction can result in its spatial displacement, similar to the displacement that can affect the CC. The cerebellum [15] interacts with the brainstem and cerebrum to organize and coordinate motor control, balance, and spatial awareness. The cerebellum [15] has also been the focus
of neurological experiments involving classical conditioning⁴⁰. This suggests that in addition to motor control, processes involving learning, memory, and attention can be hindered by ethanol-induced damage to the cerebellum⁴⁰.

The mechanistic complexity of how these CNS defects occur is due in large part to the intricacy of neural development⁴⁰. The CNS is formed by neuroepithelial cells⁰⁸, a stem cell population that differentiates into neural progenitor cells⁰⁹,⁶⁰,⁶¹, the most common of which are radial glia⁰⁹ (RG) in the CNS. RG are multipotent, uncommitted cells that have the ability to give rise to neurons and glial cells in the CNS, and provide chemical guidance and physical scaffolding for the migration of daughter neurons to different parts of the developing brain. Prenatal exposure to ethanol can have a profound impact on the development and migration of these RG progenitor cells, which can result in the creation of fewer neurons and glial cells, and morphological abnormalities in those that are produced. This reduction⁰⁹ can result in the drastically lower brain volumes and structural abnormalities observed in the developing CNS as a result of ethanol exposure.

The time period of susceptibility for RG begins in the third week after fertilization⁰⁸, and the CNS remains susceptible to ethanol-induced damage through the third trimester⁰⁸. Seven weeks after fertilization⁰⁸, the midline of the brain and the CC is developed. Between weeks seven and twenty the majority of the brain differentiates. During this time, RG generate neurons and provide the scaffolding to guide the newly created daughter neurons to their ultimate destination. This explains the reductions in brain size associated with heavy prenatal alcohol abuse, which is a result of errors in both formation and migration of neurons. The impact on cellular proliferation and differentiation⁰⁹ continues through the third trimester⁰⁹ of development, at which point the processes of cerebellumⁱ⁵ development, glial cell differentiation⁰⁹, and synaptogenesis⁰⁹ are vulnerable to ethanol-induced defects. Ethanol-induced damage to RG at this time can result in fewer glial cells, impeding the ability of these cells to perform important tasks such as regulating neurotransmitters and metabolic processes within the newly developed CNS.

The broad developmental time frame when prenatal ethanol exposure can impact development of the CNS, particularly with respect to the RG progenitor pool, has far reaching implications for the individuals affected by these defects. Depending on the developmental timing and severity of ethanol exposure, structural defects can vary from microcephaly⁰⁶ and agenesis of the CC to a more general confusion in the creation and migration of cells within the CNS. Difficulties with motor coordination, spatial awareness, verbal learning, memory tasks, executive functioning, and attention can have long term effects and severe developmental delays. Further examination into ethanol-induced damage to the CNS may aid in the development of strategies that are customized to ensuring the highest quality of life for affected individuals.

Sources

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